Reducing energy and increasing capacity – new directions for integrated optics in handling information

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See also D. A. B. Miller, "Attojoule Optoelectronics for Low-Energy Information Processing and Communications: a Tutorial Review," IEEE/OSA J. Lightwave Technology 35 (3), 343-393 (2017) DOI: 10.1109/JLT.2017.2647779

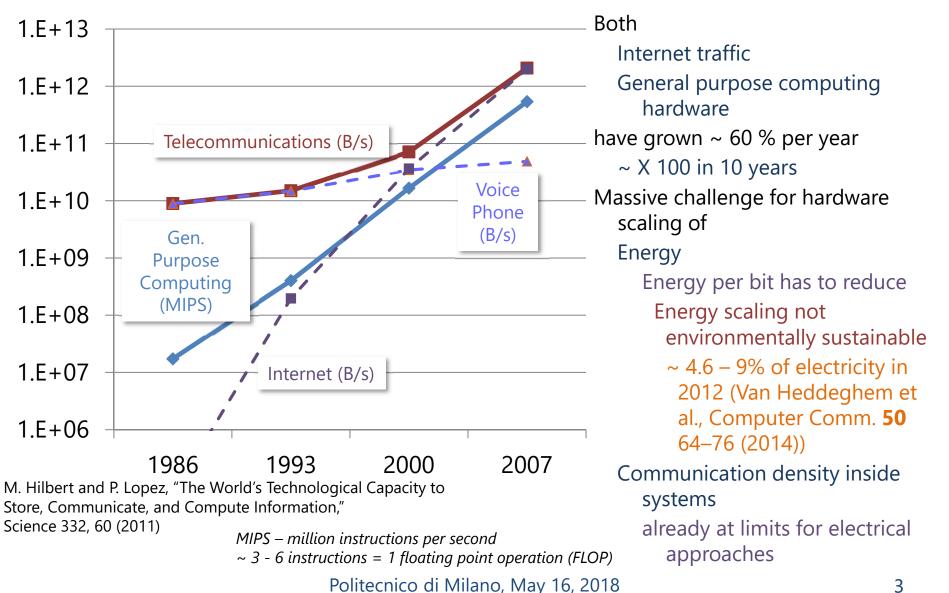
Two new reasons for complex silicon photonics

- Saving energy by eliminating the circuits in interconnect links
 - quantum impedance conversion
 - avoiding time-multiplexing in short links
 10–100 fJ/bit total energy instead of 1–10 pJ/bit
- New architectures and algorithms, allow
 - complex circuits to be set up and stabilized
 - self-correcting circuits
 - self-designing circuits

Potential applications in

optical communications sensor preprocessing, arbitrary linear transforms, neural networks, linear optical quantum circuits, ...

Growth in information communication and processing



Energies for communications and computations

Operation	Energy per bit	
Wireless data	10 – 30μJ	
Internet: access	40 – 80nJ	
Internet: routing	20nJ	
Internet: optical WDM links	3nJ	
Reading DRAM	5рЈ	
Communicating off chip	1 – 20 pJ	
Data link multiplexing and timing circuits	~ 2 pJ	
Communicating across chip	600 fJ	
Floating point operation	100fJ	
Energy in DRAM cell	10fJ	
Switching CMOS gate	~50aJ — 3fJ	
1 electron at 1V, or 1 photon @1eV	0.16aJ (160zJ)	
most energy is used for communications, not logic		

Data rates at different length scales

Total long distance internet traffic ~ 280 Tb/s (Cisco) Equivalent to everyone talking on the phone at once all the time

Traffic on "rack to rack" network inside one large data center

~ 1 Pb/s (Google)

Graphics processor and server chips peak bandwidth on and off chip

~ 1.4 Tb/s – 2 Tb/s

Server processor chip on-chip bandwidths

on-chip network bandwidth ~ 4 Tb/s

bandwidth in and out of L3 cache ~ 12.8 Tb/s

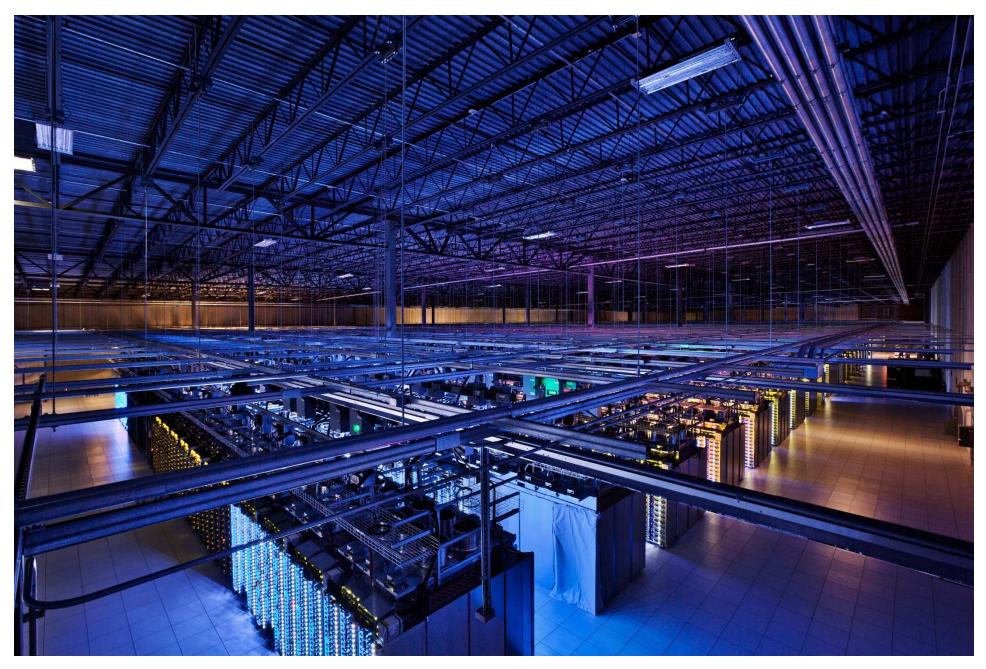
Energy and information

Though it does take more energy to send a bit over longer distances

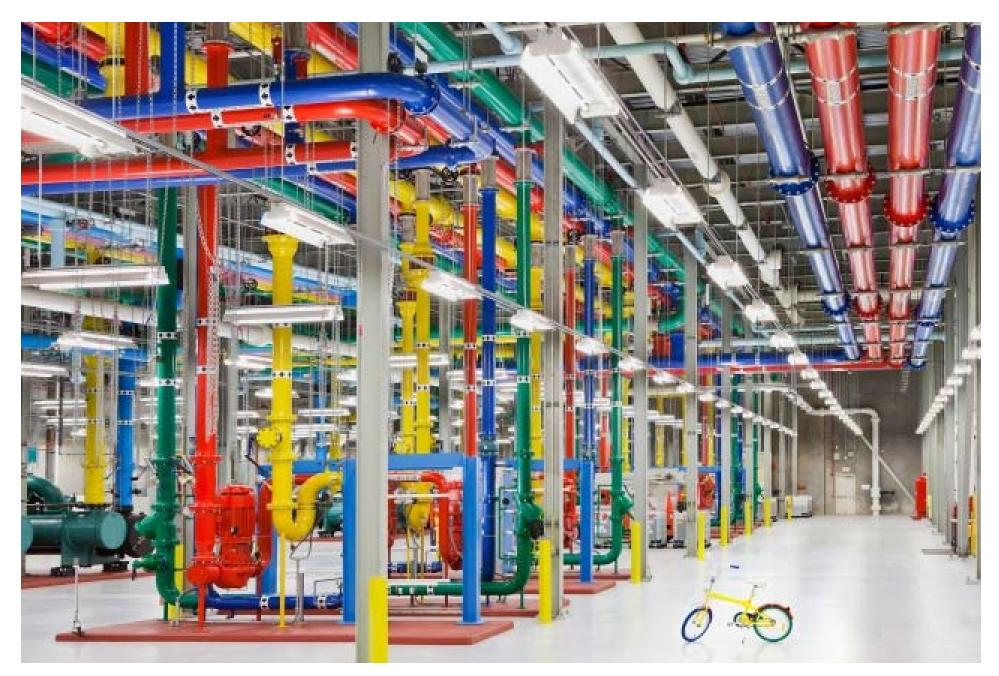
there is massively more information sent at shorter distances

so much so that

most energy dissipation is in shorter links and in interconnects inside machines









Capacitance of small structures for fJ operation

Structure	Capacitance
100×100μm square conventional photodetector	~1pF
5×5μm CMOS photodetector	4fF
Wire capacitance, per μm	~200aF
FinFET input capacitance	~ 20 – 200 aF
1 micron cube of semiconductor	~100aF
100 nm cube of semiconductor	~10aF
10 nm cube of semiconductor	~1aF

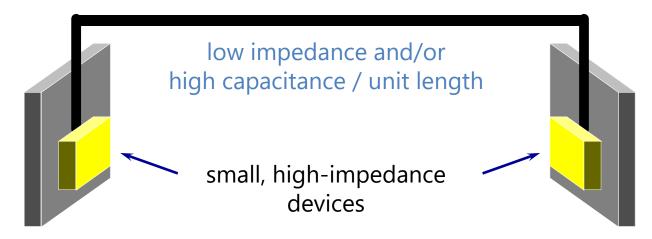
So that capacitive charging energies do not dominate, we need small devices for low device capacitance very close integration to limit wiring capacitance

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Power dissipation in electrical wires

Wires always have large capacitance per unit length ~ 2 pF/cm, 200 aF/micron
Simple logic-level signaling results in large dissipation
Dissipate at least ~ ¼CV² per bit in on-off signaling
E.g., at 2pF/cm and a 2 cm chip, at 1 V on-off signaling
energy per bit communicated at least ~ 1pJ

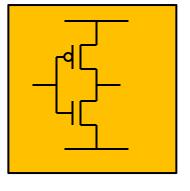
electrical connection



Logic and wiring capacitance

Wiring capacitance even to neighboring gates is comparable to or greater than the transistor capacitance Most energy in information processing is in communications not in logic even at the gate level Most energy dissipation in information processing is in charging and discharging wire capacitance which is ~ 200 aF/micron Just "touching" a bit typically costs many fJ in CMOS

Logic gate



Wire

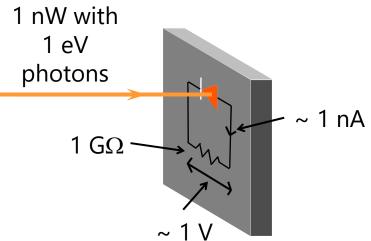
Energy and information

The dominant energy dissipation at short distances inside machines

is charging and discharging wire capacitance

Quantum impedance conversion

The photoelectric effect means it is possible to generate a "large" voltage in a detector (e.g., a fraction of a volt), with very little signal power or energy and very little classical voltage in the light beam (< 1mV for 1nW) "quantum impedance conversion" Optics only has to charge the photodetector and transistor to the logic voltage not the interconnect line



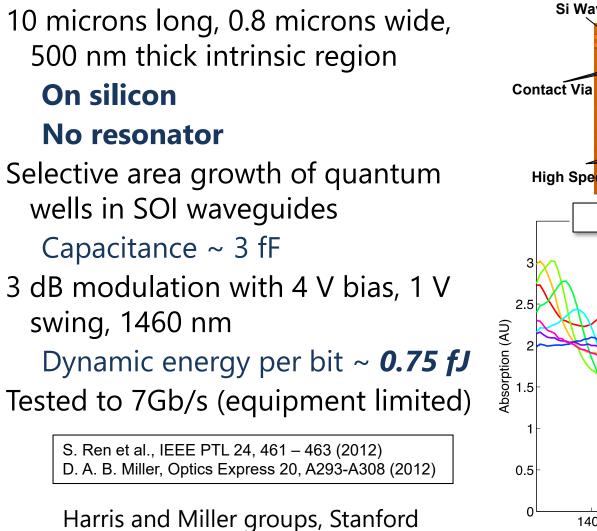
DM, Optics Letters, 14, 146 (1989)

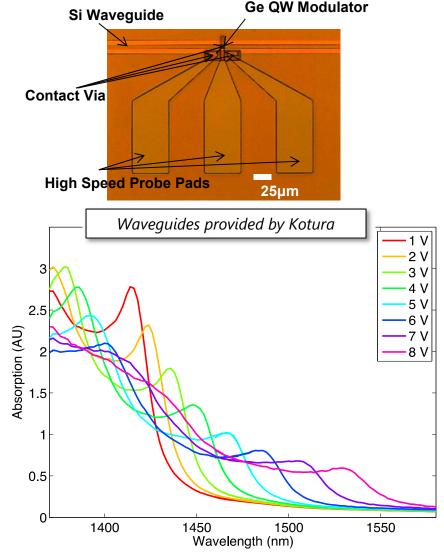
How use optics to avoid charging wires?

To exploit quantum impedance conversion, need to reduce energy in optoelectronic devices so the energy to send information optically becomes less than that of wires even for short distances e.g., centimeters or even shorter Low energy optoelectronic devices Pushing operating energies into the sub 10fJ or even attojoule range for output devices Modulators, LEDs, lasers including advanced nanophotonic structures Integrating sub-fF photodetectors right beside transistors

DM, JLT **35**, 343 (2017)

First Ge quantum well waveguide-integrated modulator





Need to move to optics to save energy

- New additional conclusion Avoid wasting energy in the electrical circuits used to run interconnects
 - Iow energies in optoelectronic devices themselves cannot be exploited effectively if the dissipation in the associated circuits is large
 - e.g., receiver amplifier circuits dissipating 100's fJ/bit to pJ's/bit
 - e.g., time-multiplexing circuitry dissipating pJ's/bit
 - clock and data recovery (CDR)
 - serialization/deserialization (SERDES)
 - clock distribution

DM, JLT 35, 343 (2017)

Eliminating receiver energy

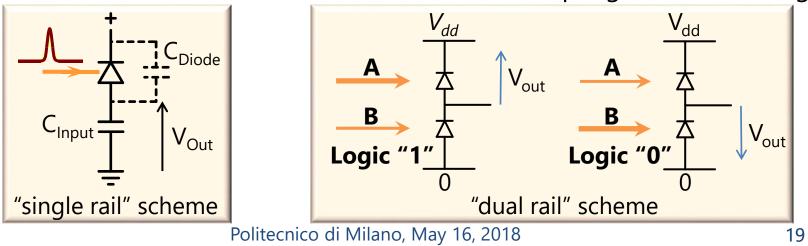
Integrate low capacitance photodetectors beside transistor input may eliminate need for voltage amplification altogether **receiverless** operation

- or limit it to ~ one simple low energy gain stage
 - "near-receiverless" operation

E.g., with 1 fJ received optical energy

- in 1 pF, generates ~1 mV
- in 30 fF (solder-bumped photodetector), generates ~33 mV
- in 1 fF, generates ~1 V

"Dual rail" schemes also eliminate need for AC coupling and line coding

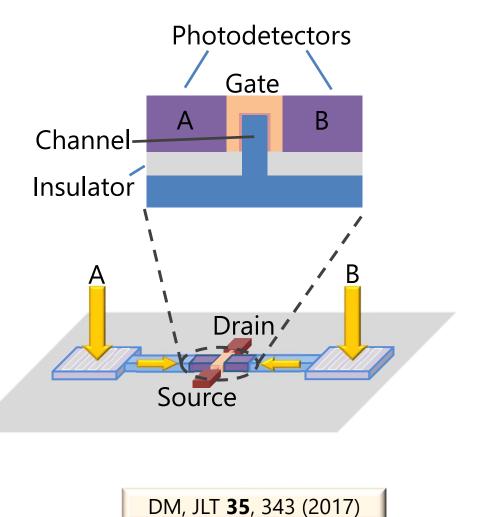


DM, JLT **35**, 343 (2017)

Eliminating receiver energy

Integration of optoelectronics right beside transistors

- e.g., within < a micron or a few microns at most allows excess capacitance in the scale of only 100's of aF Photodetector elements on scales of 1 micron or less dimensions allow detector capacitance of ~100 aF
- Transistors themselves have input capacitances
 - ~ 10's to 100's of aF
- Hence <1fF total capacitance
 - possible with integration

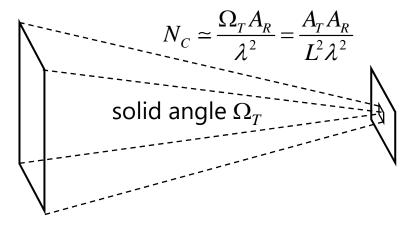


Eliminating multiplexing and timing energies

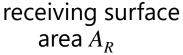
Avoid time multiplexing run interconnects at the logic IC clock rates (e.g., 1 - 2 GHz) by using massive numbers of optical channels eliminates the serialization/deserialization (SERDES) circuits Avoid need for data timing realignment Optics has well-defined propagation time, so we can run optical links with deterministic "integer" lengths i.e., integer numbers of clock cycles viable up to, e.g., 10 m lengths even over 0 - 100 C temperature ranges eliminates the clock and data recovery (CDR) circuits

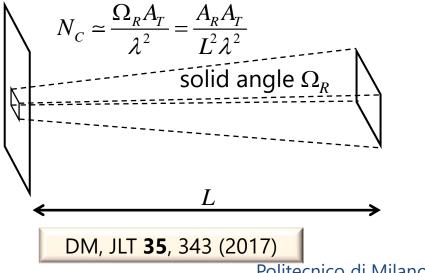
DM, JLT **35**, 343 (2017)

Number of possible free-space channels



transmitting surface area A_T



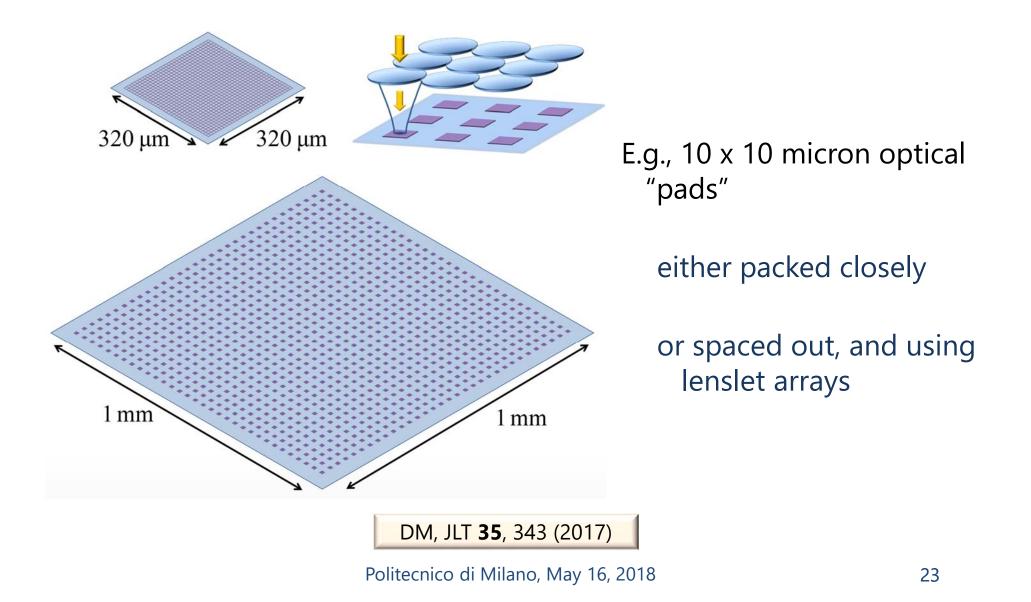


The number of possible optical channels (per polarization) between two surfaces of areas A_1 and A_2 separated by a distance Lat a wavelength λ as limited by diffraction, is

$$N_C \simeq \frac{A_T A_R}{L^2 \lambda^2}$$

e.g., at 1 μ m wavelength for 10 cm x 10 cm surfaces separated by 10 m $N_c \simeq 10^6$ for 2mm x 2mm surfaces separated by 2 cm $N_c \simeq 4 \times 10^4$

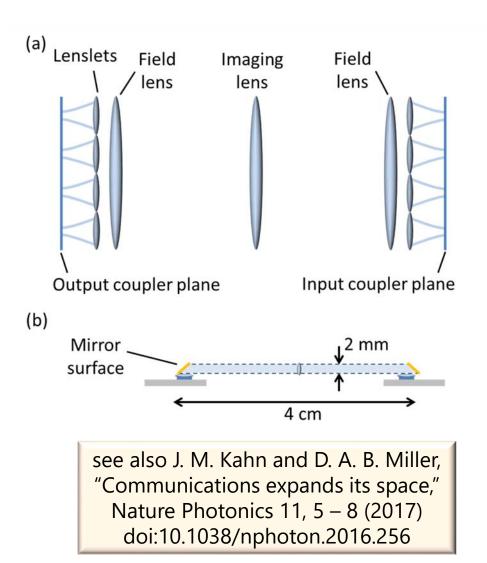
2D arrays of 1024 free space channels



Free-space optical system approaches

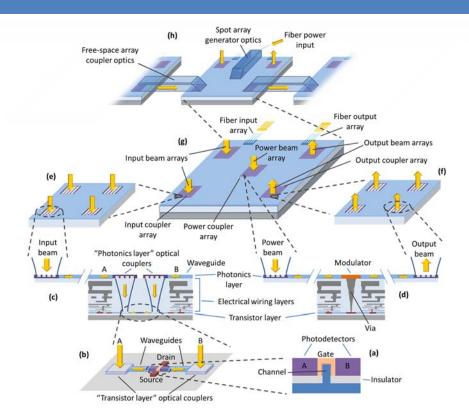
free-space optics in large arrays 1000's or 10,000's or channels even running at energy efficient clock-rates e.g., 2 GHz can allow multiple Tb/s on and off chip even in only square millimeters of chip area channels can be to neighboring chips on a board or different boards or racks

DM, JLT **35**, 343 (2017)



An example low energy system approach

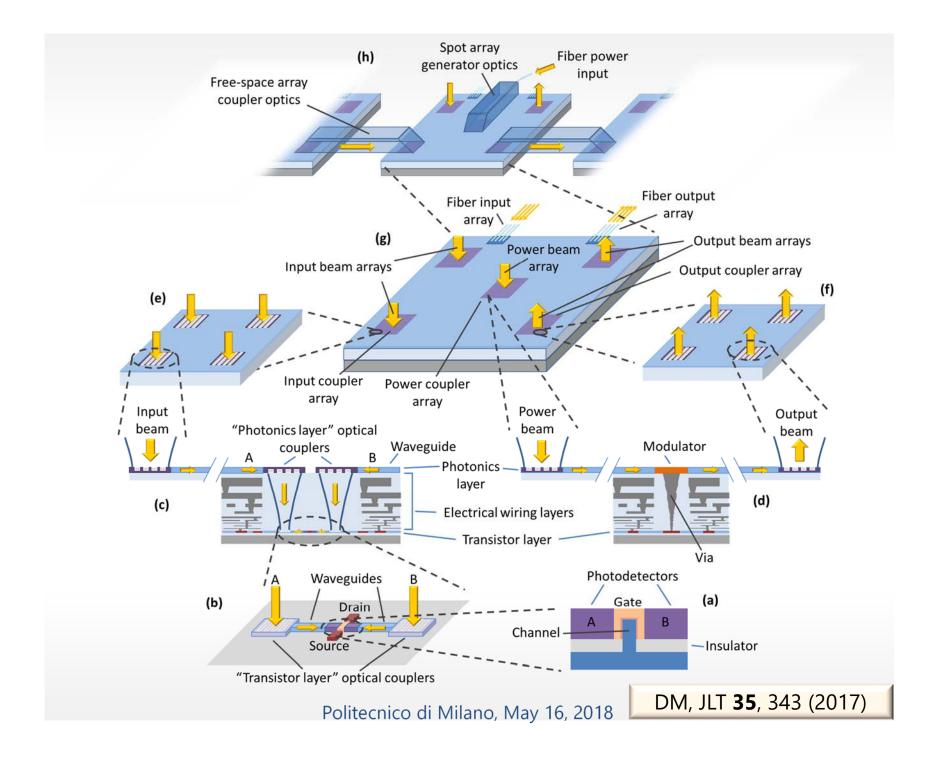
Key additional technology use a silicon photonics optical "interposer" layer especially with additional materials e.g, III-Vs, germanium optical couplers, including optical vias waveguide arrays free-space couplers Key desireable advance beam and mode couplers with %'s of loss, not dB's of loss major opportunity for nanophotonics Goal – 10 fJ/bit up to 10 m distance



"Straw man" system concept exploiting

- tightly integrated optoelectronics
- efficient beam couplers
- free-space communications with 1000's to 10,000's of channels

DM, JLT **35**, 343 (2017)



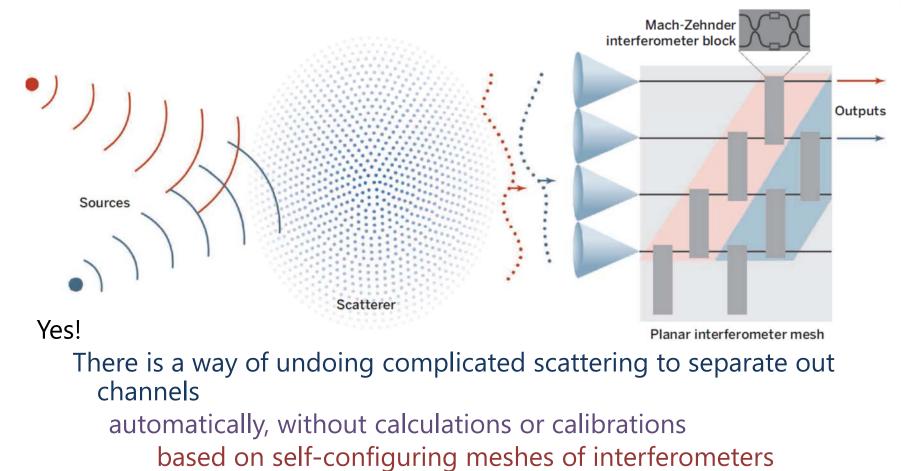
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- Saving energy by eliminating the circuits in interconnect links especially eliminating time-multiplexing in short links 10–100 fJ/bit total energy instead of 1–10 pJ/bit
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Potential applications in

optical communications, sensor preprocessing, arbitrary linear transforms, neural networks, linear optical quantum circuits, ...

Can we disentangle light beams?



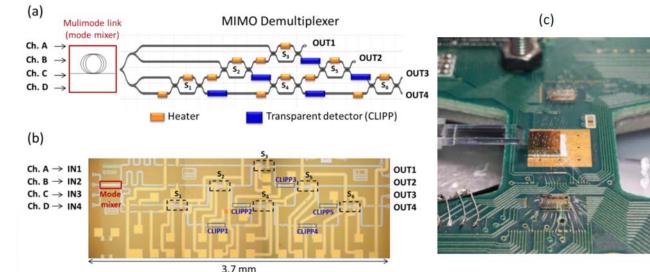
e.g., in silicon photonics circuits



Applications

- demultiplexing of signals in multimode fibers and waveguides
 losslessly separating overlapping light beams of different shapes
- circuits for coherent communications, tracking polarization
- sensor preprocessing, arbitrary linear transforms, neural networks
- self-aligning beam couplers and beam combiners
- tracking a source in three dimensions, undoing optical scattering
- linear optical quantum circuits, quantum computing gates
- microwave photonics

A. Annoni, E. Guglielmi, M. Carminati, G. Ferrari, M. Sampietro, D. A. B. Miller, A. Melloni, and F. Morichetti, "Unscrambling light – automatically undoing strong mixing between modes," Light Science & Applications 6, e17110 (2017) Politecnico di Milano



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Recent work on mesh optics

Self-configuring and self-correcting optics demonstrations

- A. Annoni, E. Guglielmi, M. Carminati, G. Ferrari, M. Sampietro, D. A. B. Miller, A. Melloni, and F. Morichetti, "Unscrambling light automatically undoing strong mixing between modes," Light Science & Applications 6, e17110 (2017)
- A. Ribeiro, A. Ruocco, L. Vanacker, and W. Bogaerts, "Demonstration of a 4 × 4-port universal linear circuit," Optica 3, 1348-1357 (2016)
- C. M. Wilkes, X. Qiang, J. Wang, R. Santagati, S. Paesani, X. Zhou, D. A. B. Miller, G. D. Marshall, M. G. Thompson, and J. L. O'Brien, "60 dB high-extinction auto-configured Mach–Zehnder interferometer," Opt. Lett. 41, 5318-5321 (2016)

Other recent mesh demonstrations

- Y. Shen, N. C. Harris, S. Skirlo, M. Prabhu, T. Baehr-Jones, M. Hochberg, X. Sun, S. Zhao, H. Larochelle, D. Englund, and M. Soljacic, "Deep Learning with Coherent Nanophotonic Circuits," Nature Photonics 11, 441-446 (2017)
- N. C. Harris, G. R. Steinbrecher, J. Mower, Y. Lahini, M. Prabhu, D. Bunandar, C. Chen, F. N. C. Wong, T. Baehr-Jones, M. Hochberg, S. Lloyd, and D. Englund, "Quantum transport simulations in a programmable nanophotonic processor," Nature Photonics 11, 447-452 (2017)
- D. Pérez, I. Gasulla, J. Capmany, and R. A. Soref, "Reconfigurable lattice mesh designs for programmable photonic processors," Opt. Express 24, 12093-12106 (2016)
- J. Carolan, C. Harrold, C. Sparrow, E. Martín-López, N. J. Russell, J. W. Silverstone, P. J. Shadbolt, N. Matsuda, M. Oguma, M. Itoh, G. D. Marshall, M. G. Thompson, J. C. F. Matthews, T. Hashimoto, J. L. O'Brien, and A. Laing, "Universal linear optics," Science 349, 711-716 (2015)
- L. Zhuang, C. G. H. Roeloffzen, M. Hoekman, K.-J. Boller, and A. J. Lowery, "Programmable photonic signal processor chip for radiofrequency applications," Optica 2, 854-859 (2015) doi: 10.1364/OPTICA.2.000854

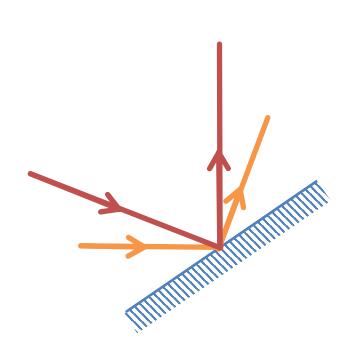
Theory of universal and self-configuring/correcting optics

- DM, "Self-configuring universal linear optical component," Photon. Res. 1, 1-15 (2013)
- DM, "Perfect optics with imperfect components," Optica 2, 747-750 (2015)
- DM, "Setting up meshes of interferometers reversed local light interference method,"

Opt. Express 25, 29233-29248 (2017)

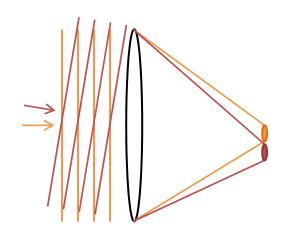
Simple optical components – a mirror

We "design" a plane mirror by choosing its angle so it takes a beam of one angle and changes it into a beam of another angle For another beam at another angle the mirror changes it to a beam of yet another angle but we have no independent control of what happens for the second beam



Simple optical components – a lens

We design a lens by choosing its index and curvatures so it takes a plane wave in one direction and focuses it to a spot For another plane wave in another direction the lens focuses it to another spot but we have no independent control of what happens for the second beam



Simple "thin" optical components

This kind of behavior is general for "thin" optical components

e.g., thin holograms, diffractive optical elements

spatial light modulators, adaptive optics metasurfaces

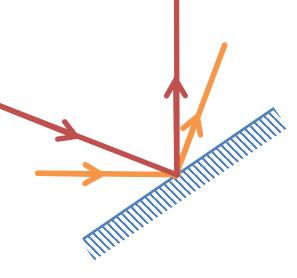
We design them to perform some useful function for one input beam

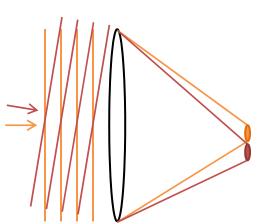
but we have no independent control of what happens

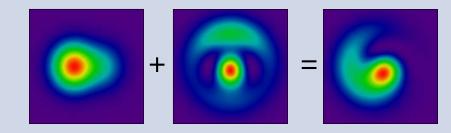
for other beams

So these are not "arbitrary" optical components

"How complicated must an optical component be?" J. Opt. Soc. Am. A 30, 238-251 (2013)





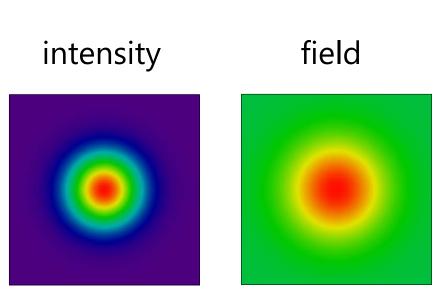


An example problem

Separating overlapping beams

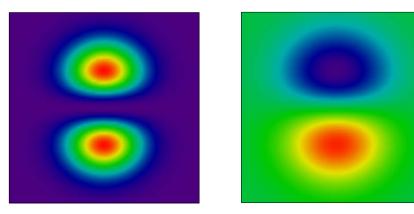
Example - Separating overlapping beams

Suppose we have two different (orthogonal) beams e.g., from an optical fiber such as a "single bump" beam



and

a "two bump" beam



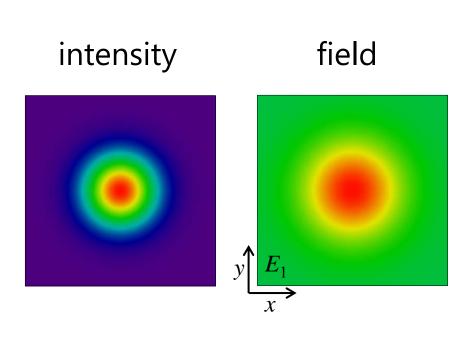
Example - Separating overlapping beams

Mathematically, two (non-zero) beams are "orthogonal" if

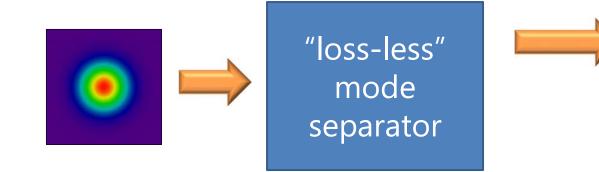
$$\iint \mathbf{E}_1^*(x, y) \cdot \mathbf{E}_2(x, y) \, dx \, dy = 0$$

Here, the product of the single-bump beam and the two-bump beam

- would be negative in the top half
- but positive in the bottom half
 - so the resulting integral would be zero



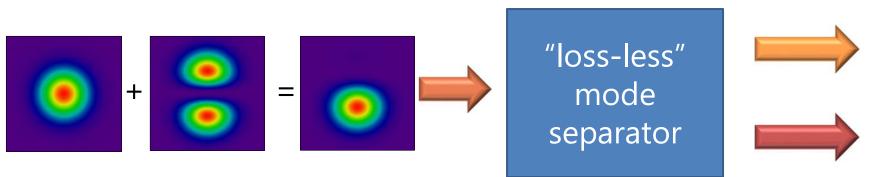
If both of these beams emerge simultaneously from the fiber how can we separate them for example to different fibers without loss?



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In situations with

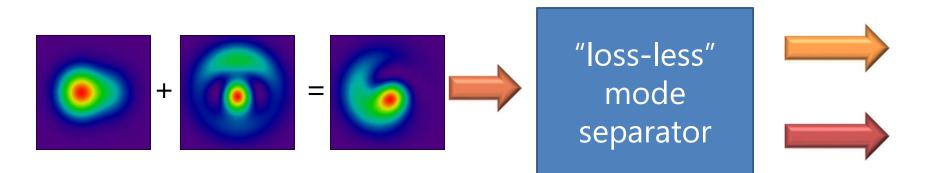
fixed

highly symmetric beams

good specific low-loss separation solutions are known

But for general cases

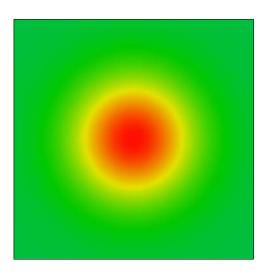
of lower symmetry and/or higher complexity or where the beams change in time general solutions have not been known



We can approach the beam-separation problem

by presuming it will be good enough to imagine that we can divide the beam up

into a finite number of "patches"



We can approach the beam-separation problem

by presuming it will be good enough to imagine that we can divide the beam up

into a finite number of "patches"

We treat each of these patches

as if it was approximately uniform

in intensity and

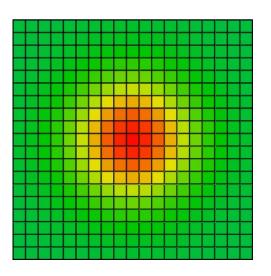
in phase

At least with a sufficiently large number of patches this could be a good enough

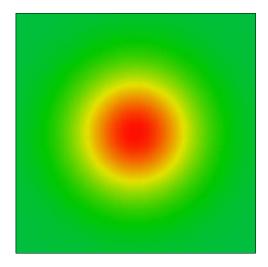
- this could be a good enough
 - approximation

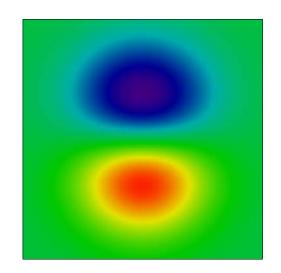
and "sampling loss" may be small

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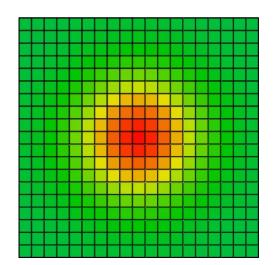
Even relatively small numbers of patches are sufficient to distinguish beams of low or moderate complexity

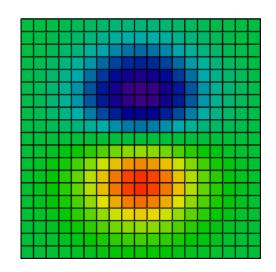




Even relatively small numbers of patches are sufficient to distinguish beams of low or moderate

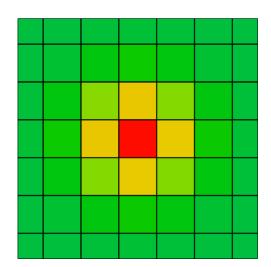
complexity

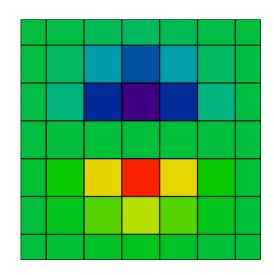


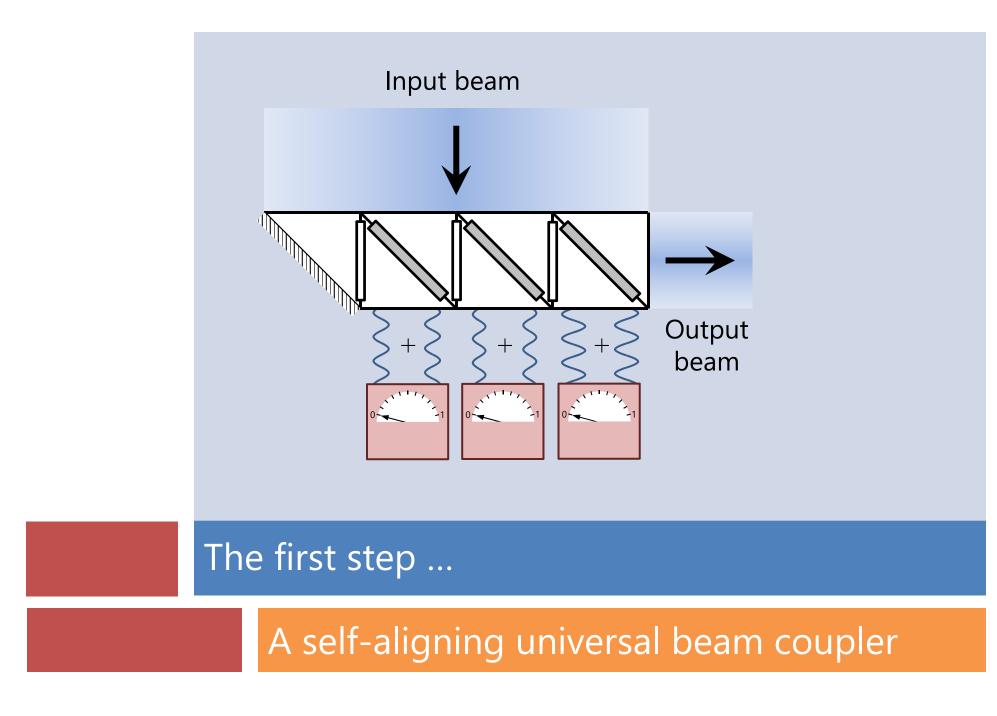


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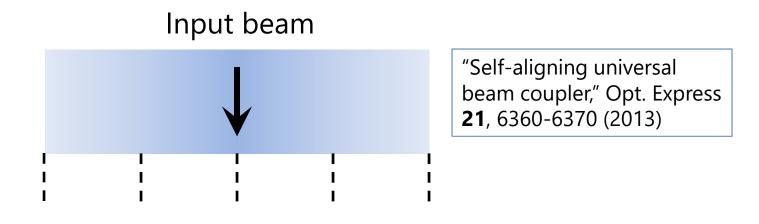






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Coupling an arbitrary input beam

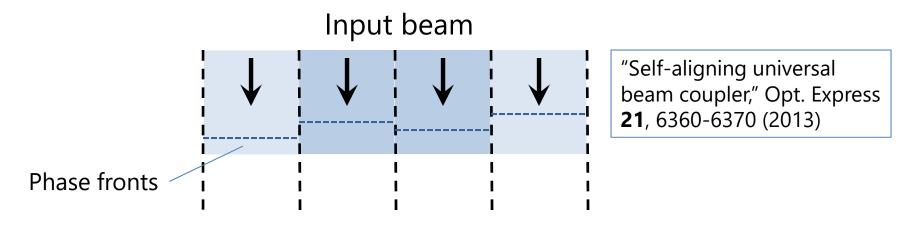


For illustration

suppose, for simplicity, that

an arbitrary input beam can be adequately described by splitting it into 4 sections

Coupling an arbitrary input beam



For illustration

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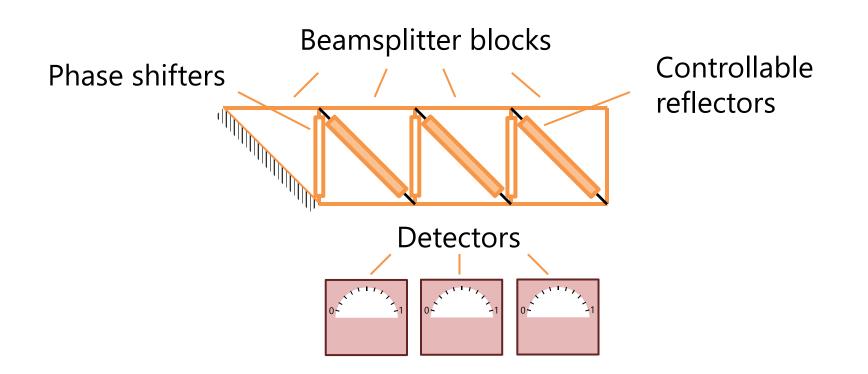
an arbitrary input beam can be adequately described by splitting it into 4 sections

each approximately uniform in intensity and "flat" in phase

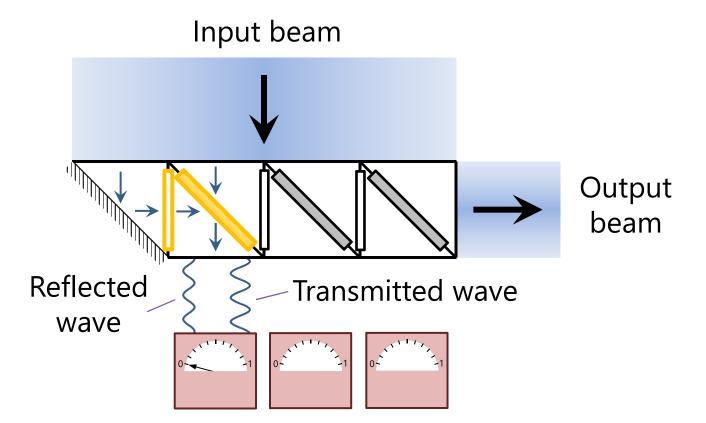
For simplicity, neglect diffraction for the moment

assuming each of these sections will propagate as a "square" section of the beam

Self-aligning beam coupler



Self-aligning beam coupler

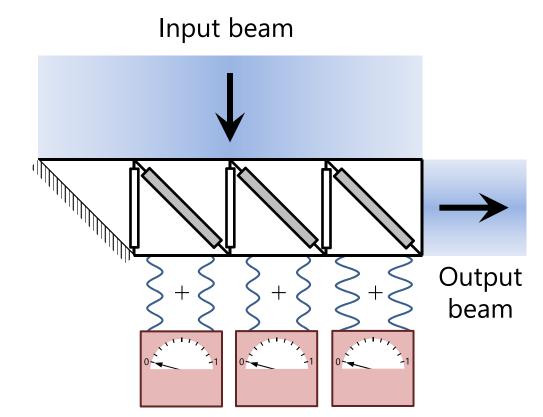


Adjust phase shifter in first block to minimize power in first detector Adjust reflectivity in first block to minimize power again in first detector

Repeat for each block

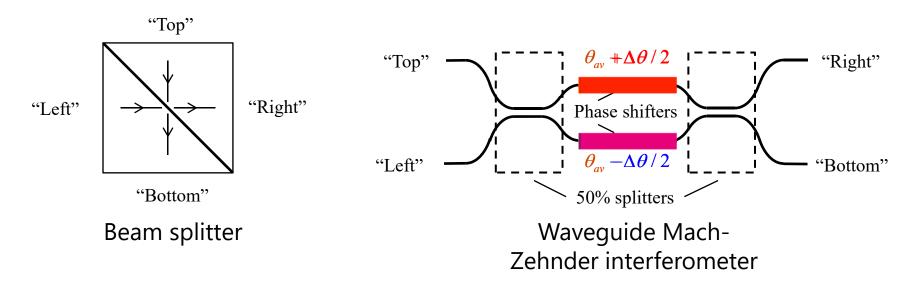
Leaves no power in detectors, all input power in output beam Politecnico di Milano, May 16, 2018

Self-aligning the beam coupler



Now all the input beam power is coupled to the output beam Regardless of the form of the input beam And without any calculation or detailed calibration of devices Politecnico di Milano, May 16, 2018 51

Mach-Zehnder interferometer as controllable reflector and phase shifter



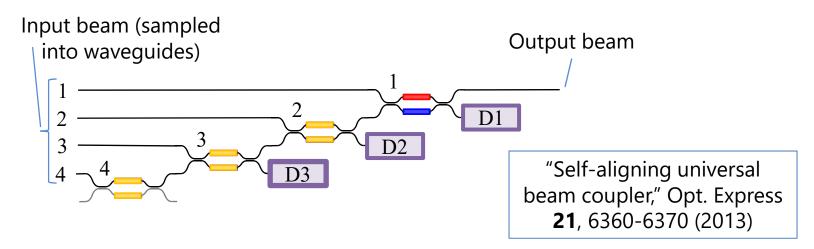
A Mach-Zehnder interferometer functions both as a controllable "reflector"

Using differential drive $\Delta \theta$ of the two phase shifter arms

And as a controllable phase shifter

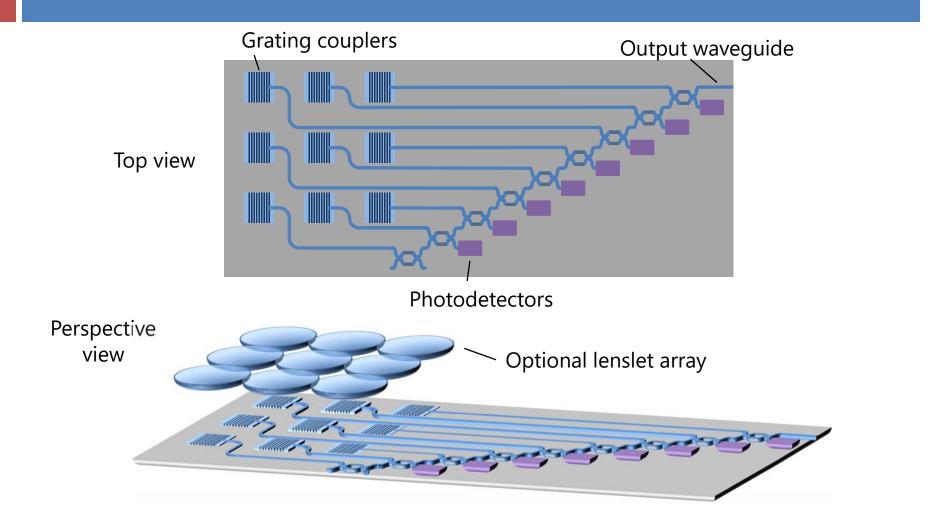
Using common mode drive θ_{av} of the two phase shifter arms To control amplitude and phase of the outputs

Mach-Zehnder self-aligning implementations

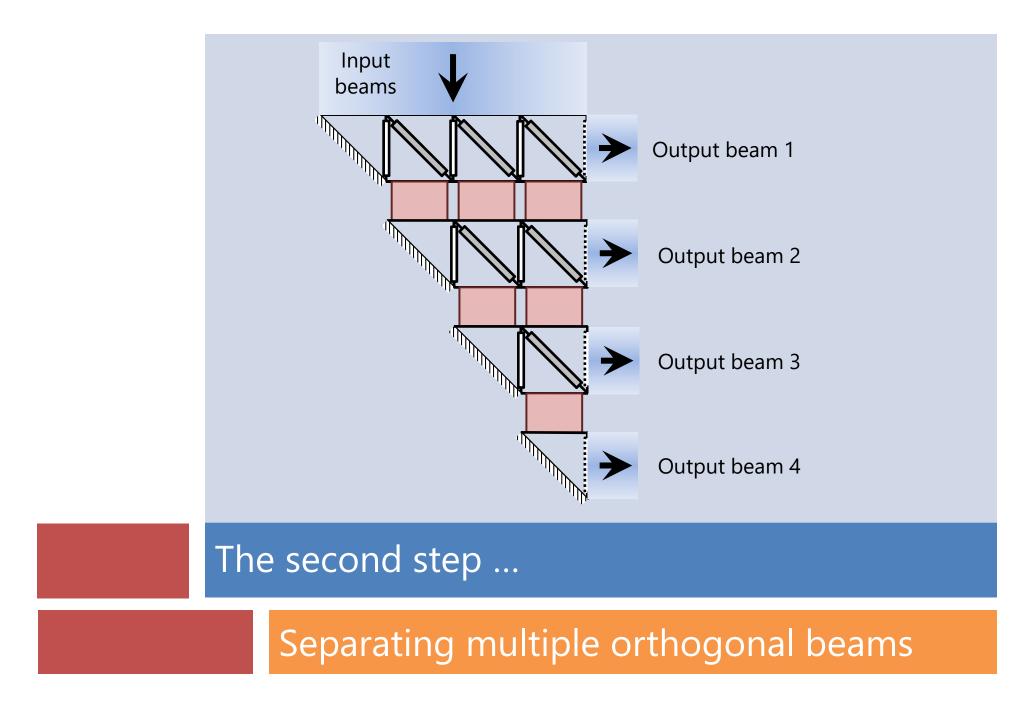


Adjust phase in device 4 to minimize power on D3 Adjust split ratio in device 3 to minimize power on D3 Adjust phase in device 3 to minimize power on D2 Adjust split ratio in device 2 to minimize power on D2 Adjust phase in device 2 to minimize power on D1 Adjust split ratio in device 1 to minimize power on D1 All power from the input waveguides now in output beam

Mach-Zehnder self-aligning implementations

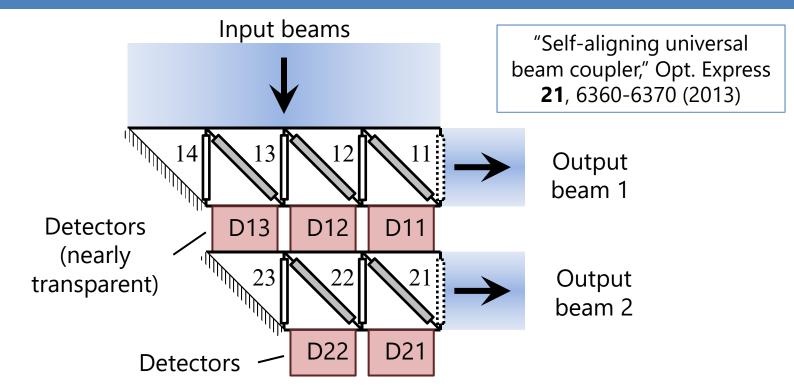


Grating couplers would allow us to couple a free-space beam to a Mach-Zehnder implementation of the device



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Separating multiple orthogonal beams



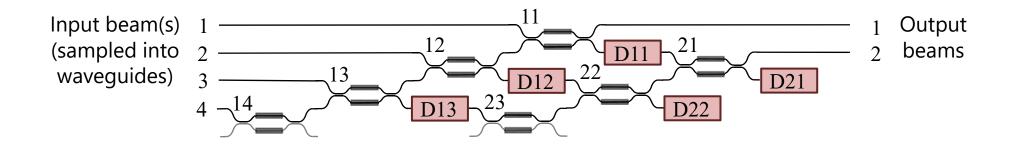
Once we have aligned beam 1 using detectors D11 – D13

an orthogonal input beam 2 passes through the nearly transparent detectors to the second row

where we can self-align it using detectors D21 – D22

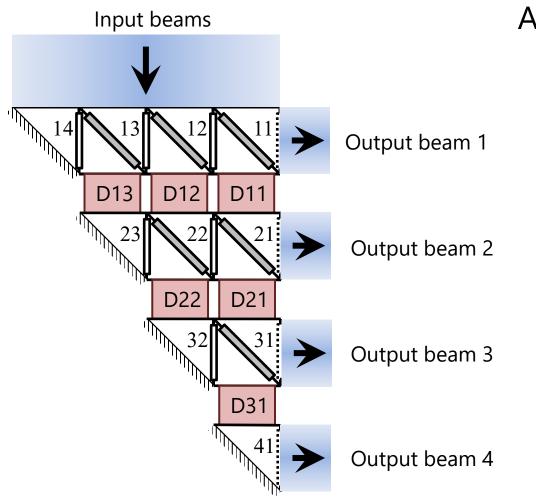
separating two overlapping orthogonal beams to separate outputs

2 beam Mach-Zehnder implementation



D11, D12, D13 are mostly-transparent detectors Since alignment and re-alignment need not be performed at data-rate speeds only need small signals from the detectors Many ways of making such mostlytransparent detectors

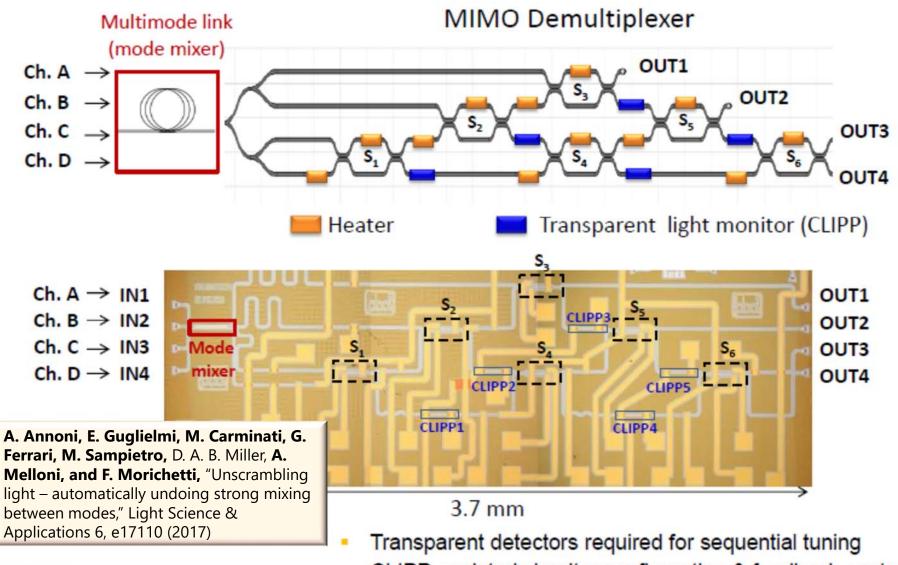
Separating multiple orthogonal beams



Adding more rows and selfalignments separates a number of orthogonal beams equal to the number of beam "segments" here, 4

Integrated MIMO demultiplexer: technology



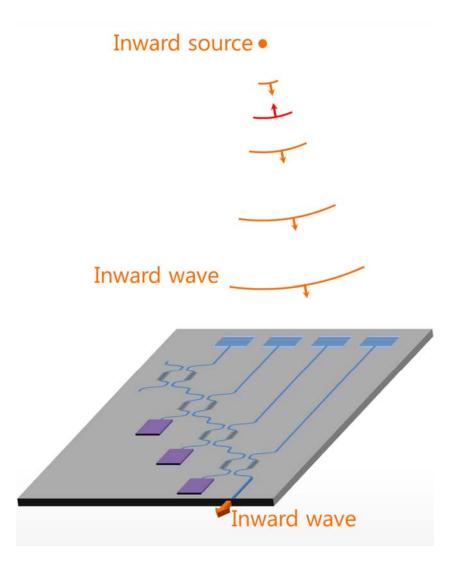




CLIPP-assisted circuit reconfiguration & feedback control

Extensions – tracking a source

Optimize reception of a wave from a source An adaptive front end for a sensing system e.g., finding and/or tracking a source



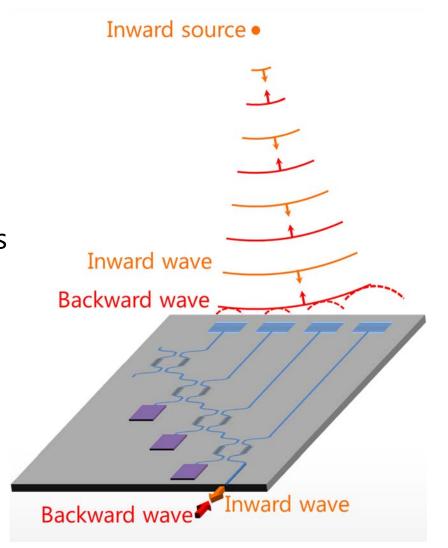
"Establishing optimal wave communication channels automatically," J. Lightwave Technol. 31, 3987 – 3994 (2013)

Politecnico di Milano, May 16, 2018

Extensions – tracking a source

Optimize reception of a wave from a source An adaptive front end for a sensing system e.g., finding and/or tracking a source Creating an optimum backwards channel to a source for communications or powering

"Establishing optimal wave communication channels automatically," J. Lightwave Technol. 31, 3987 – 3994 (2013)



Extensions – self-stabilizing optical systems

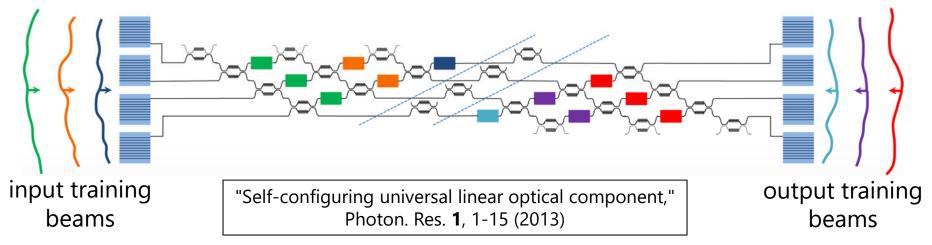
Keep "training beams" on all the time in the background

"key" each training beam, e.g., with an amplitude modulation at a different frequency for each beam

photodetector rows respond only to "keyed" signals With the local electronic feedback loops enabled in sequence the system will stabilize itself

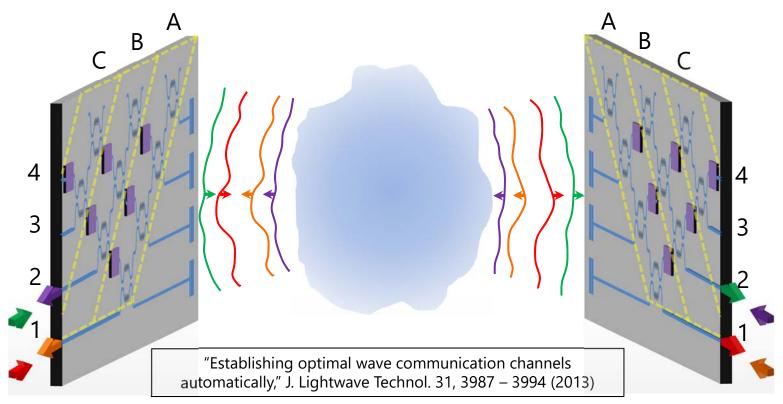
to continue implementing the trained function

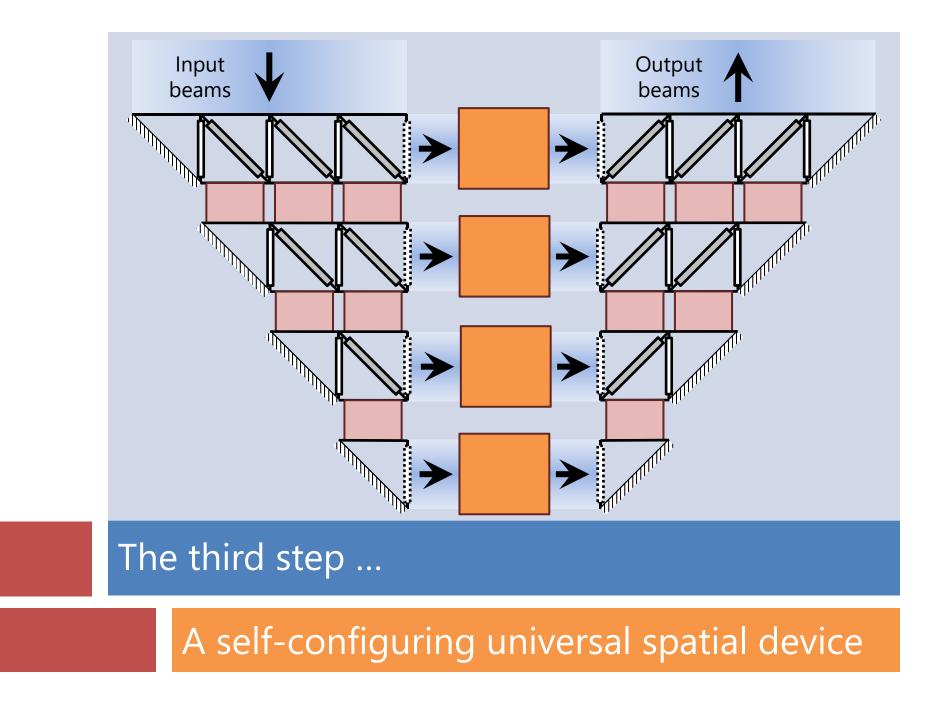
E.g., arbitrary transforms for optical and/or quantum processing



Extensions – optimal channels

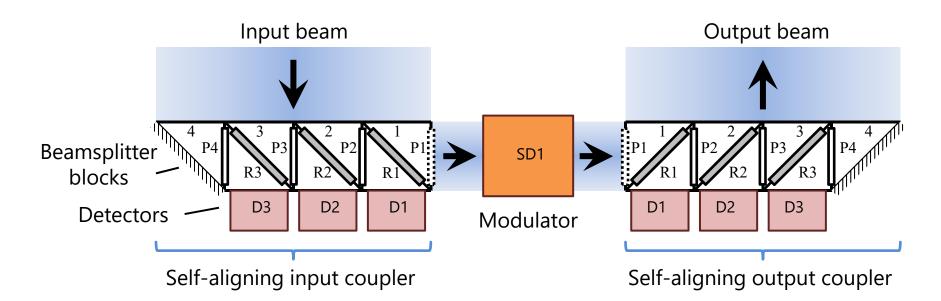
Iterating back and forward between the two sides Finds the optimal orthogonal channels through any medium Physically, performs the singular value decomposition of the optics from the waveguides on the left to the waveguides on the right





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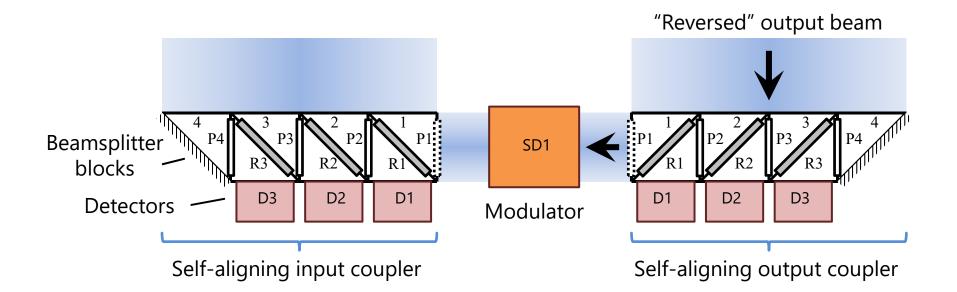
Arbitrary mode converter



Exploit two back-to-back self-aligning universal beam couplers Self-align input coupler by shining in beam of interest and adjusting using local feedback loops as before

> "Self-configuring universal linear optical component," Photon. Res. **1**, 1-15 (2013)

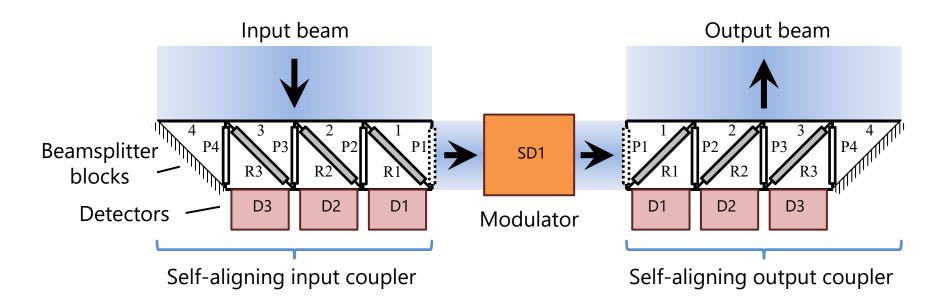
Arbitrary mode converter



Self-align the output coupler

shining desired output beam *backwards* into the output coupler technically, the phase conjugate of the desired output beam
And adjusting using local feedback loops as before
But now in the *output* coupler

Self-configuring linear spatial device



Now any single desired input beam

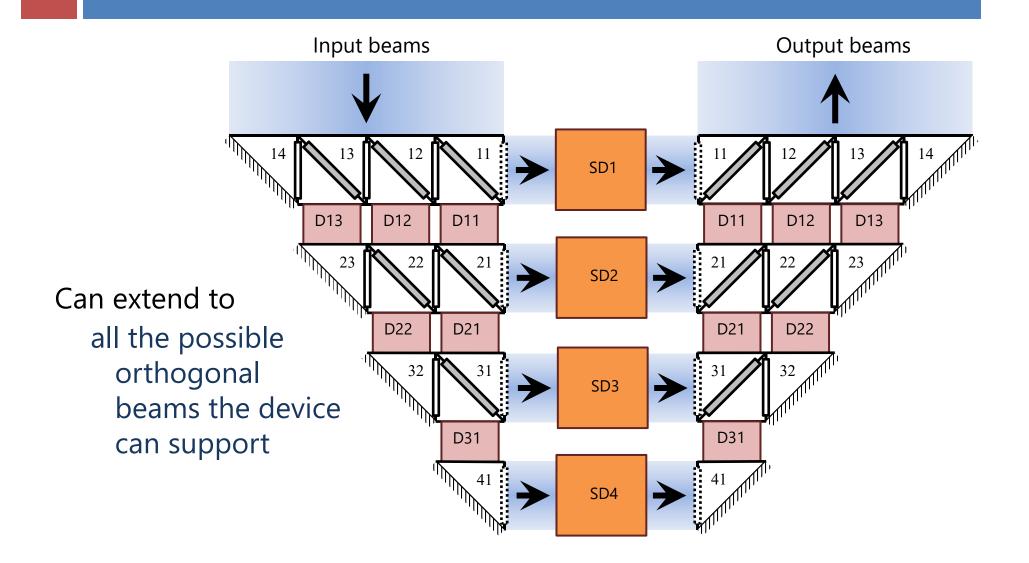
is converted to

any single desired output beam

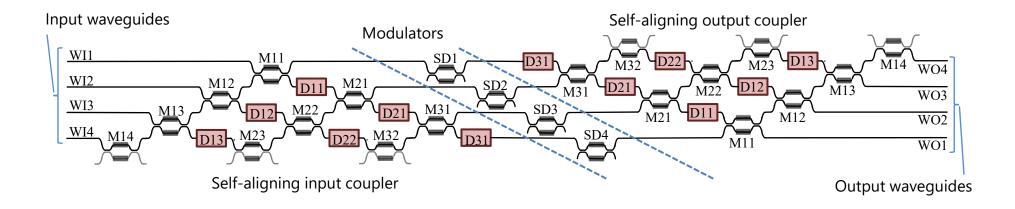
with no calculations

by training the device with the desired beams Can also adjust modulator to give desired coupling strength

General multiple mode converter



Mach-Zehnder implementation



Same concept can be implemented in a planar Mach-Zehnder form No crossing waveguides required Example here has the output order flipped for compactness

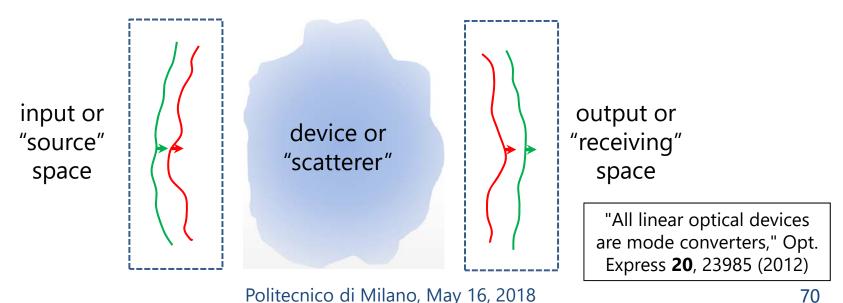
> "Self-configuring universal linear optical component," Photon. Res. **1**, 1-15 (2013)

Describing an arbitrary linear optical component

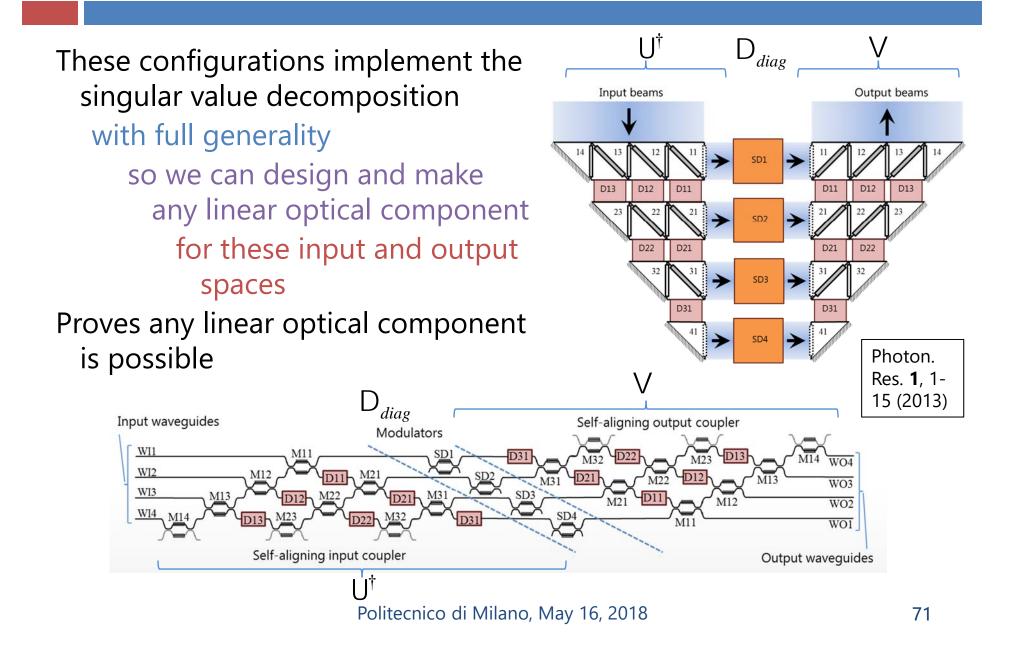
Any linear optical component can be described by a linear "device" or scattering operator D and we can perform the singular value decomposition (SVD) $D = VD_{diag}U^{\dagger}$ U and V are unitary operators and D_{diag} is a diagonal operator

If we can emulate any SVD for given input and output spaces

then we can make any linear optical device for those spaces



Formal mathematics – singular value decomposition



Conclusions

For a copy of these slides, please e-mail dabm@ee.stanford.edu

Novel low-energy optoelectronics, together with photodetectors right beside transistors allow optoelectronics at 10 fJ or even 1 fJ levels

DM, JLT 35, 343 (2017)

J. M. Kahn and DM, Nat. Phot.11, 5 (2017)

and can eliminate 100fJ/bit – pJ/bit receiver power dissipation Adding free-space optical arrays and silicon photonics as an interposer allow us to eliminate the time-multiplexing circuitry so we can go from 1 – 10 pJ/bit to 10 – 100 fJ/bit New algorithms and designs for interferometer meshes allow us to exploit the silicon photonics complexity

Applications in

- communications, sensing
- signal processing and computing
- linear optical quantum circuits
- New classes of self-configuring and self-optimizing optics adaptable, manufacturable, complex optics

If you can think of an linear optical device at least for one wavelength there is a way of designing it and making it (if it is not too complicated) with interferometer meshes which can also design and stabilize themselves Now is the time to have fun with this! Something new for silicon photonics get funded make these devices get rich!



Self-configuring optics references

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- Annoni et al., "Unscrambling light automatically undoing strong mixing between modes," Light Science & Applications 6, e17110 (2017)
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- "Self-configuring universal linear optical component," Photon. Res. 1, 1-15 (2013)
- "Self-aligning universal beam coupler," Opt. Express 21, 6360-6370 (2013)
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- "Communicating with Waves Between Volumes Evaluating Orthogonal Spatial Channels and Limits on Coupling Strengths," Appl. Opt. **39**, 1681–1699 (2000)
- For an overview, including all these links, see http://www-ee.stanford.edu/~dabm/Selfalign.html